### Attorney Docket No. S-2490/CONT/CIP PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)			
	)	Group Art	Unit: Unkno	wn
UEHARA; YAMAZAKI; OHIRA; KAWAHITO	)			
	)	Examiner:	Unknown	
Serial No. Continuation-in-part	)			
of parent appln. S.N. 10/727,666	)			
-	)			
Filed: Concurrently herewith	)			

For: DEFOAMERS FOR TOP COAT PAINTS FOR MOTOR VEHICLES

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. \$\$1.56 and 1.97-1.99, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of the Examiner in charge of the above-identified application. No fee is required for this submission because it is submitted before the mailing date of a first Office Action on the merits.

Copies of the documents are attached herewith with a completed Form PTO/SB/08A. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO/SB/08A in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO/SB/08A, and return a copy of the initialed Form PTO/SB/08A with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made

USSN: Unassigned UEHARA et al.

of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 19-1980.

Respectfully submitted,

SHERMAN AND SHALLOWAY

Attorney for Applicants

Roger C. Hahn Reg. No. 46,376

SHERMAN AND SHALLOWAY

413 N. Washington Street Alexandria, Virginia 22314 703-549-2282

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Api	plication	of:		)				
	YAMAZAKI;		KAWAHI	) TO )	Group	Art	Unit:	Unknown
	o. Contin t appln. S				Examir	ner:	Unkno	own
Filed:	Concurrent	ly here	with	)				
For:	DEFOAMERS	FOR TOP	COAT	PAINTS	FOR MOT	OR V	ÆHICL	ES

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents Alexandria, VA 22313-1450

SIR:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [ X ] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [ ] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:
[] i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
[] ii. a check in the amount of \$ required by 37 C.F.R. §1.17(p).

[ ] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

[ ] PETITION FEE: A check for \$130.00 required by

USSN: Unassigned UEHARA et al.

		[	]	PETITION 37 CFR						-		requ	ired	by
		[	]	CERTIF	[ĈA]	rion	is	atten	ded	to in	box	(e)	belo	ow.
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- [ ] d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
- [ ] e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]
  - I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

- [ ] Appropriate certification is attached.
- [ X ] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 19-1980.

Respectfully submitted,

SHERMAN AND SHALLOWAY

Attorney for Applicants

Roger C. Hahn Reg. No. 46,376

#### SHERMAN AND SHALLOWAY

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S-2490/CONT/CIP

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Attorney Docket Number

Substitute for form 1449/PTO

# Complete if Known Application Number Unassigned Filing Date Concurrently herewith First Named Inventor UEHARA Art Unit Unknown Examiner Name Unknown

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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(Use as many sheets as necessary)

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Sheet

U. S. PATENT DOCUMENTS Name of Patentee or Examiner Cite Document Number Publication Date Pages, Columns, Lines, Where Initials\* No.1 MM-DD-YYYY Relevant Passages or Relevant Applicant of Cited Document Number-Kind Code<sup>2 (if known)</sup> Figures Appear US-4,219,632 08-26-1980 Simms US- 4,446,175 05-01-1984 Brixius et al. US- 4.533,681 08-06-1985 Cassatta et al. us- 4,608,314 08-26-1986 Turpin et al. US- 5,015,711 05-14-1991 Simonet et al. US- 5,270,392 12-14-1993 Okude et al. US- 5,576,406 11-19-1996 Yamamura et al. US-6,479,605 11-12-2002 Franchina US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS								
Examiner Cite For		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	•	Or Relevant Figures Appear	T⁵		
		EP 0 353 734	02-07-199	Nippon Paint	···			
		JP 6-228503	08-16-1994	Toyota Motor				
		JP 10-158336	06-16-1998	Kyoeisha Chemi	cal			
		EP 0 694 564	01-31-1996	National Starc	h			
		WO 93/03070	Q2-18 <b>-</b> 1993	BASF Corporation	n			
		EP 0 610 534	08-17-1994					

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Examiner		Date	
Signature		Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Complete if Known

Application Number
Unassigned

Filing Date
First Named Inventor
UEHARA
Art Unit
Unknown
Examiner Name
Unknown

Attorney Docket Number

Sheet

U. S. PATENT DOCUMENTS Examiner Cite Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where MM-DD-YYYY Initials\* Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code<sup>2 (if known)</sup> Figures Appear US-US-US-US-US-US-US US-US US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS									
Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Ī				
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Translation is arrached.

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PTO/SB/08B (08-03)

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Substitute	e for form 1449/PTO			Complete if Known			
Cabolinak	3 101 101111 1 1 1 1 1 1 1			Application Number	Unassigned		
INFORMATION DISCLOSURE				Filing Date	Concurrently herewith		
STA	TEMENT E	BY A	PPLICANT	T First Named Inventor UEHARA			
				Art Unit	Unknown		
(Use as many sheets as necessary)		Examiner Name	Unknown				
Sheet	3	of	3	Attorney Docket Number	S-2490/CONT/CIP		

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	, T²
		DATABASE WPI, Section Ch, Week 199106 Derwent Publications Ltd., London, GB; Class A60, AN 1991-040123 JP 02 306955 A	
		(SHOWA DENKO KK), 20 December 1990 *Abstract*	

Examiner	Date	
Signature	Considered	

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